

防范非法证券期货宣传月活动——资本市场重大历史事件：中
国集体诉讼第一案



4 16! " # \$ % & ' () * + , - . / 0 1 2 3 4 5 6 7 8 9 :

; < = > 16! ? @) 6 ABCDE " F! " GHI J KLM = NGH
I OP = QRSTUGO = QVW\$XYZ [56 \] ^ _ ` GHI)
ab" cdef 01 2gh] ^ _ `) 34i ; < = > j kl . / 0
1 2 3 4 mnopq 7i

* r s t u v w x y 2 z] ^ _ ` . / O 1 2 3 4 { | } ~ | { 1
E " 2020 ! 5 " * r s "] ^ _ ` # \$ % & ' (') * f e + * ,
- . / % & O 1 2 3 " B 4 / 5 u 2 6 7 8 9 : * f ; < = 4 (L u i
] ^ _ ` B 4 2016 ! > 2018 ! ? @ 3 ! t A B C t D E C F G H I
J K P L M N 300 O " 7 7 P Q R S " T @ U V " H W . / X Y "
Z s [\] ^ " _ ` (a " " % & b G H I c d e f g Q " X Y h i
9 G H I) j (k l " X Y m n H q % & o] p q i G H I J K L M
r s \ t % & u v " w (W \$ G H I a b " e f O 1 2 g h] ^ _ `
O 1 2 3 4 i * r s " x 1 E y T " z j w (" G H I J K L M g {
3 4 | e } * r ~ " I J G H I j (k l i

S 6 " * r s j T @ h { (>) " .
/ O 1 2 3 4 L " w (} . / O 1 2 3 4 | e q
i

广州 中院 适用 程序
审理 虚假陈述 任 案

; <=>BCDE" 2020! 12 31! " ; <=>\$p9 C
[11\ C 3 C C] ^_` [22\ ; C*
+ M y , - 67i

C 11\ C f O12" d O1
t5 34d zcdh1q734) GHI " O
1234i 2021! 2 10! " ; <=> o 23kl O12
34mnopq7" 39k 2 i 2021! 3 26! " >?
+9 O1234k ~BC i

2021! 3 30! " C C [11\GHI = * r
s" ; = s P / T C C C)
* , - . 23" ; <=>cd h; = s P C
T C C C f q7; C" d ; C{
C [; C ? y i ; <%=>w(" C h
; C) cd i

2021! 4 8! " GO=QUW\$9XYZ[56\k 2) . /
k" ; <=>cdef O12gh34i ; <=>j kl . / O1
234mnopq7i

R7 q W

<http://www.gzcourt.gov.cn/fygg/ck11/2021/04/16171929622.html> V

; < = > "x 7) k 2 2017! 4 20!R V
> 2018! 10 15! R V U B 1 Cz 2018
! 10 15! % T t] ^ _ ` R Uf ST] ^ " * + O
600518V" { q 7 t 5 3 4 d) GHI " t
2 (> u op * + % & M ?) 7)
) 3 5) 3) M { hi gU uF
) i

; < = > 1 E" j k 2) GHI ghx . /
O1234" t qBC U R 2021! 5 16! V
! > v + 3 4 i v +) GHI * 3"
w(f . / O1234) Ci

帮助受损中小
得到公平、高效 赔偿

* r s 5 u v w x y 2 " = 3 4 O
1 2 H " G H I J K L M w (O 1 2) (
G H I k " G J L M 5 0 \) G H I . / k
. / O 1 2 3 4 m n g h 3 4 " G H I
E h 1 C E + i

x y 2 " H q % & l J * + , - . / O 1 2 3 4 " 1
l = b P > u " H q % & ' (9 : * f
Y i x t 4 (P % & p q b
Z s a p t * +)
" { * , C y L) j " f
H q % & . / t) 4 (J t
\$ h) = N G H I B C) i

P " 3 4 * + % & G H I
k) " O 1 2 O 1 2 ? 3 4 i (" =
A % & G H I 1 . 8 O " 3 4 j S G H I) k q
b 3 4 " t 2 \$ i l s S) 3 [{ | i]
^ _ ` 3 4 7 j f = H q % & H " t C
? * C K P L M [H q % & ' (9 : * f i

=* 9 " 8GHI JKLMj w(} . /O1234| e
q " ; S=NGHI B C) i " *
r sj T@h { (>) " l _
. /O1234 L " T@ Hq%& JKG
HI j (k l C " ' (') * f ChS y
) # ") C C Ct Ct H) Hq%
&i

财务造假始末

预谋、组织、长期、系统财务造假

] ^ _ ` K P L M [\] ^ " X Y m n H q % & o] p q i * r s
3 " 2016 > 2018 ! "] ^ _ ` t B 4 l 2 C
V [b ? C L * " H
P 887 O " 1 275 O " 39 O i * r s w ("
] ^ _ ` ' (') 7 e + * , - . / % & O 1 2 3 " j] ^ _ ` /
5 u 2 6 7 8 9 : * f < = 4 (L u i

2019 ! 4 30 ! "] ^ _ ` 6 ! " ? @ 9 2018 ! # C 2019 ! 6 \$
C 2018 ! K # % o & [20 B C i

20 BC=" 6 | f u s ' ()) BC
* 9B4 2017 ! ! # = +) s (+ , , " 23"
2017 ! K # } * Y = " 2017 ! K # =) HP 299.44
O " - ` 1 88.98 O " - ` q 76.62 O i

. " / B4? + { Obr 1 2" UB4 KP1 pC#
\$%&C v [YS3{ " u i

ef] ^ _ `) o LM" ; = s P 4 2019! 5
; * r s 7 i

2019! 5 17! " * r s #] ^ _ ` 7 } " 2018! 5" *
r s ! r 1 ? "] ^ _ ` KP# C6I H 3" 78 M [' (') " * r s 7 i ! 7U"] ^ _ ` ? @ u B4 / I J 8E9B4 : ;) EHBCi

2019! 6 28! " ST] ^ 2018! ! S s " B4 V
< = " " B4 >?? = 6@)
) { | " zj ABCj * r s " D E! t + 8i

2020! 5 14! " * r s ? @ F \$ U" w("] ^ _ ` ' (')
7e+* , - . / % & O 1 23" 23"] ^ _ ` y . @

C" z - 60H . l " " 21\y 26- 90H > 10H
[. l " " 6\ y 2J 10! >KL* +%&O1 Ji5u
= LM78' (') * f * , opmn=i " * r s
j] ^ _ ` / 5u26789: * f < = 4(Lui

* r s Y c " # \$ % & H q % & o] ?) M" w (
- q) % & N i 6 @ % O ` d (b) A " I J K
P L M [P i G H I l)] ^ * f " 5 u = L M Q R S y C T
U V ` " X Y W X H q % & o] ? i " x " * r s j Y K J T Z
q [" l \ l] (^) R y " _ j ` l * , - . C y C
/ # ~ a [y F " " K P L M [* f Y b + c i

2021! 4 16! "ST] ^?@Sd` eAC"A 2020! f h 244.8
O-299.2O "x A f h 148.5O-178.2O i q ` eACd
" A B4gHhfx ") 3" A B4 j 2020!
! #C%& ; I J % 8Ei

RfBCi ?@" ST] ^BCz 9 { O2 j >
q 5uk) j pHi B4 k l m+)
n" B4j >q 5uk) j pHCoOH" p
\) " p ` e Mq r) i

8s = * + #